Express Mail Label No.: EV399525574US Attorney Docket No.: 23380-601 NATL

Date of Deposit: November 4, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Stephen M. Strittmatter

SERIAL NUMBER:

09/485,601

Examiner

Sharon L. Turner

FILING DATE:

May 4, 2000

Art Unit

1647

For:

CENTRAL NERVOUS SYSTEM AXON REGENERATION USING RHO PROTEIN

**INHIBITORS** 

**MAIL STOP IDS** 

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed on the attached modified Form PTO-1449, as well as copies of the listed documents.

This Supplemental Information Disclosure Statement is being filed after the first Office Action but before the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311. Accordingly, a fee of \$180 as set forth in 37 C.F.R. §1.17(p) is enclosed.

It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

By submitting this Supplemental Information Disclosure Statement, the Applicants make no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by

APPLICANTS:

Stephen M. Strittmatter

U.S.S.N.:

09/485,601

35 U.S.C. §102.

Notwithstanding any statements by the Applicants, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested.

Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No.: 23380-601 NATL.

Respectfully submitted,

Ivor R. Elrifi, Reg. No. 39,529 Scott D. Miller, Reg. No. 43,803

Attorneys for Applicants

MINTZ, LEVIN, COHN, FERRIS, GLOVSKY and POPEO, P.C.

The Chrysler Center 666 Third Avenue

New York, New York 10017

Tel: (212) 935-3000 Fax: (212) 983-3115

Dated: November 4, 2003

NYC 273871v1

Express Mail Label No.: EV399525574US Date of Deposit: November 4, 2003

NOV 0 4 2003

Under the Paper

PTO/SB (12-97) OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

7				
odified Form 1449/PTO	Application Number	09/485,601		
SUPPLEMENTAL	Filing Date	05/04/00		
INFORMATION DISCLOSURE	First Named Inventor	Strittmatter		
STATEMENT BY APPLICANT	Group Art Unit	1647		
	Examiner Name	Turner		
se as many sheets as necessary)	Attorney Docket Number	23380-601 NATL		

				U.S. PATENT DOCUMENTS			
Exam Initials	Cite No.	U.S. Patent Document No.	Issue Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date if Appropriate

FOREIGN PATENT DOCUMENTS					
Exam Initials	Cite No.	Foreign Patent Document Office Number	Name of Patentee(s) or Applicant(s)	Date of Publication	Translation Yes No

1	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.		
	C10	Gebbink et al. (1997). J. Cell Biology 137: 1603-1613.		
	C11	Kozma et al. (1997). Molecular and Cellular Biology 17: 1201-1211.		
	C12	Lamoureux et al. (1997). J. Cell Science 110: 635-641.		

*a copy of	this reference is not provided as it was	previously cited by or submitted to the office in a prior application
Serial No.	, filed	, and relied upon for an earlier filing date under
35 U.S.C.	§120 (continuation, continuation-in-part	, and divisional applications).

Examiner Signature	•	Date Considered		
-----------------------	---	--------------------	--	--

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

NYC 273870v1